



11-22-02

Docket No: ACY33316-D2

Patent

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In re of Application of: Sridhar Krishna Rabindran, et al.
Serial No.: 10/086,133 Group Art No.: 1656
Divisional of Serial
Number 09/321,182
Filed: February 28, 2002 Examiner:
For: REVERSAL OF MULTIDRUG RESISTANCE IN HUMAN COLON
CARCINOMA CELLS
Confirmation No.: 3539
Group Art Unit 1656
Customer Number: 25291

Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

1. Preliminary Statements

In accordance with 37 CFR 1.97 and 1.98, Applicants submit herewith patents, publications, or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) the information is material to the examination of this application; (iii) additional information material to the examination of this application does not exist; (iv) the information, protocols, results and the like reported by third parties are accurate or enabling; or (v) the information constitutes prior art to the subject invention.

CERTIFICATE OF MAILING 37 CFR §1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date written below in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EU238370275US addressed to the Commissioner for Patents, Washington, DC 20231.

November 21, 2002
Date

Daniel B. Moran
Daniel B. Moran

11/25/2002 HMOHAMM1 00000051 011300 10086133
01 FC:1806 180.00 CH

2. Identification of Time of Filing

This Information Disclosure Statement

- a. ☐ is filed within three months of the filing date of the application.
- b. ☒ is filed before the mailing date of a first Office Action on the merits.
- c. ☐ is filed before the mailing date of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.
- d. ☐ is filed after the period specified in 2(a), 2(b) or 2(c) above, but before the mailing date of a final action under 37 CFR 1.311. This statement includes a certification under 37 CFR 1.97(e) or the fee set forth in 37 CFR 1.17(p).
- e. ☐ is filed after the mailing date of a final action or Notice of Allowance but before payment of the issue fee. This statement includes (i) a certification under 37 CFR 1.97(e), and (ii) the fee set forth in 37 CFR 1.17(p).

3. ☐ Certification under 37 CFR 1.97(e) and 1.704(d)
The undersigned attorney certifies

- a. ☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
- c. ☐ The undersigned attorney certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign application patent office in a counterpart foreign application and was not received by any individual designated in 37 CFR 1.56(c) more than thirty (30) days prior to the filing of the statement.

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A legible copy of the patents, publications or other information cited on the attached form PTO 1449 is enclosed, except that no copy of a pending U.S. application is enclosed.

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No copy of the patents, publications or other information cited on the attached form PTO-1449 is enclosed because it has been previously cited by or submitted to the Office in a prior application which is relied upon for an earlier filing date under 35 USC 120.

Prior application is Serial Number , filed on of for .

- ☐ Concise Explanation
Documents cited above which are not in the English Language
- a. ☐ have been explained in the specification.
- b. ☐ have an abstract (or other concise explanation) in English enclosed or if readily available a translation into English of the document is enclosed.

Form PTO-1449 is enclosed in duplicate.

- ☐ Fees
- ☐ Fee for filing under 37 CFR 1.97(c) or (d) Fee: \$180.00

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/086,133
				Filing Date	02/28/2002
				First Named Inventor	Sridhar Krishna Rabindran
				Group Art Unit	1656
Examiner Name					
Sheet	1	of	1	Attorney Docket Number	ACY33316-D2

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	4.	RABINDRAN, SRIDHAR K.; Cancer Res. Alert; 2, (2), 19-21, July (2000)	<input type="checkbox"/>

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Substitute for form 144B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/321,182
Filing Date	May 27, 1999
First Named Inventor	Sridhar Krishna Rabindran
Group Art Unit	1656
Examiner Name	Jezia Riley
Attorney Docket Number	ACY33316

Sheet 1 of 1

U.S. PATENT DOCUMENTS

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	3.	O'Malley, Gerard J. et al, Tetrahedron Lett. (1987), 28(11), 1131-4.	
	4.	Bailey, Patrick D., et al, Tetrahedron Lett. (1989), 30(46), 6421-2.	
	5.	Bailey, Patrick D. et al, J.Chem.Soc., Perkin Trans. 1 (1993), (4), 451-8.	
	6.	Cui, Cheng-Bin. et al, J. Antibiot. (1996), 49(6), 527-533.	
	7.	Cui, Cheng-Bin, et al, Tetrahedron (1996), 52(39), 12651-12666.	
	8.	Cui, Cheng-Bin, et al, Tennen Yuki Kagobutsu Toronkai Koen Yoshishu (1996), 38 th , 49-54.	
	9.	Cui, Cheng-Bin, et al, Tennen Yuki Kagobutsu Toronkai Koen Yoshishu (1996), 38 th , 49-54. (English Abstract)	<input type="checkbox"/>

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